

Individual Application

V1.2024.ENG



PURE DMA TRADING
GUARANTEED
STP EXECUTION



Step 1

Step 2

Step 3

Step 4

Step 5

Arkham Capital (Pty) Limited Account Application

Country

Step 1 _____

Account Details

Currency*

EUR

USD

GBP

Platform*

Metatrader 4

JForex

Fix API

Fortex 5/6

Account type*

Trading Account

Managed Account

Partner Name

* Mandatory Field

Step 1

Step 2

Step 3

Step 4

Step 5

Step 2 _____

Personal Details

Registration Details

Title*

Gender*

First name(s)*

Last name(s)*

Date of Birth DD/MM/YYYY*

Place of Birth*

Citizenship*

Country of residence*

ID Type*

Passport

National ID
Card

Driving
License

ID Number*

Employment Status**

Company Name*

Industry*

Occupation*

Highest Level of Education*

Preferred Language of Communication

English speaker

Country of Tax Residence*

Tax Identification Number*

* Mandatory Field

** Employed, Self-Employed, Unemployed, Retired, Student

Step 1

Step 2

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Step 5

Step 2 _____

Personal Details

Contact information

Address*

City*

Country*

Postcode*

Alternate Email*

Telephone*

Mobile number*

Time at current address*

Years

Months

If less than 1 Year 0 months, add the previous address

Address*

City*

Country*

Postcode*

Have you or any of your immediate family members or close associates have held any senior political, state, military or diplomatic position in the last 12 months?

NO YES

Are you a US reportable person under FATCA?*

NO YES

* Mandatory Field

Step 1

Step 2

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Step 5

Step 3

Financial Details

Approx. Annual income (EUR)*

Approx. Liquid Assets (EUR)*

Available Funds for Trading (EUR)*

Anticipated Initial Deposit (EUR)*

Source of Funds for Trading (EUR)*

Bank details

Please enter the details of the bank account that you will use to fund your Tier1fx International Account. You can add additional accounts later on from your Client Portal.*

EU Non-EU Account

Bank Name*

Swift Code/BIC*

IBAN*

Beneficiary Name*

Bank Address*

Account Number

Does the Bank require an intermediary?

NO YES Please fill below

Intermediary Bank Name*

Country*

Swift Code/BIC*

* Mandatory Field



Step 4 _____

Client Classification & Regulatory Framework

Please answer the following questions below truthfully and to the best of your abilities.

Do you have previous investment and trading experience in foreign exchange or any other margin traded instrument?*

NO YES

Have you been, or are you currently employed in the financial sector in a professional position, which requires knowledge of the transactions and services envisaged with Tier1fx International?*

NO YES < 1 year YES > 1 year

How many years have you been trading in the financial markets?*

0 < 1 year 1-3 year > 5 year

Which product(s) have you been trading?*

FX & CFDs Stocks Bonds & Shares Futures Others

How many quarterly transactions have been carried out in your name over the past four quarters, that were of significant size for the relevant market?*

< 10 10-20 > 20

What is your investment objective?

Hedging Capital Growth Speculation Income Other

* Mandatory Field



Step 4 _____

Client Classification & Regulatory Framework

What is the size of your financial instrument portfolio, including cash deposits and financial instruments (in EUR)?*

- < 500,000
- 500,000-1,000,000
- > 1,000,000

Have you ever been a registered with CFTC, NFA, SEC, FINRA, FSA, BaFin, etc?

- NO
- YES

Are you required to registered with a regulator?

- NO
- YES

Are you an employee of an exchange or regulator organization?

- NO
- YES

Have you ever declared bankruptcy?

- NO
- YES

* Mandatory Field



Step 5 _____

Agreements & Acknowledgments

Trading in derivative financial instruments via Tier1fx International trading platform is not equally suitable for all clients. The product offering of Tier1fx International is mainly directed at the experienced, independently acting investor. In this regard, the Applicant hereby declares the following:

Derivative products are suitable as part of my investment objectives and attitude towards risk and I am able to assess the risks involved in trading them.

The funds deposited on behalf of the entity are the property of the entity and do not represent any third party.

All statements made by myself in conjunction with opening an account with Tier1fx International are true and complete. I confirm that the document that will be submitted have neither been tampered with nor digitally edited.

In the event that I have any doubts whatsoever on the product offered by Tier1fx International or markets in general, I shall seek professional independent advice.

By competing this application form, accessing Tier1fx International website or other service we provide to you, you consent to Tier1fx International collecting, maintaining, using and disclosing personal information about you and provided by you as described in our Data Protection Policy.

Client Agreement and Policies: (tick them up to agree every document)

Client Agreement [Link to PDF](#)

"Appendix I. AML. STATEMENT" [Link to PDF](#)

"Appendix II. PRIVACY POLICY & DATA PROTECTION" [Link to PDF](#)

"Appendix III. RISK DISCLOSURES AND ACKNOWLEDGEMENTS" [Link to PDF](#)

"Appendix IV. CONFLICTS OF INTEREST POLICY" [Link to PDF](#)

"Appendix V. REFERRAL AGENTS DISCLAIMER" [Link to PDF](#)

"Appendix VI. COMPLAINTS HANDLING POLICY" [Link to PDF](#)

"Appendix VII. USE OF EXPERT ADVISERS POLICY" [Link to PDF](#)

"Appendix VIII. CLIENT PORTAL/EPM/3RD PARTY SOFTWARE" [Link to PDF](#)

Tier1FX International Newsletter

Yes, I would like to receive TIER1FX International latest Company News

Full Name*

Signature *

Date DD/MM/YYYY*

* Mandatory Field

Arkham Capital (Pty) Limited
The Grange, 140 Bauhinia Road,
Technopark, Centurion 0169,
South Africa
www.tier1fx.co.za

Tier1FX International is the branded offering of Arkham Capital (Pty) Limited, a company incorporated and registered in South Africa, authorised by the Financial Sector Conduct Authority as a Financial Services Provider (FSP 53853).

Risk Disclaimer:

OTC leveraged products, including CFDs, are complex investments, which come with a high risk of losing money rapidly due to leverage. You acknowledge that trading derivative financial instruments, including foreign exchange, futures, options, contracts for differences and commodities carry a substantial potential risk of loss and you understand the risks involved and that you are willing to undertake this risk. You further confirm your understanding that the value of these financial instruments is influenced by the price changes of their underlying reference instruments, that these prices may fluctuate both rapidly and widely, that such factors can be neither predicted nor controlled by any party, and that leverage, or “gearing” will disproportionately impact the results of any such transactions. Losses can therefore quickly compound. You will be responsible to ensure your account has sufficient margin to sustain your trading activity. You should consider carefully whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.