

AML Policy

October 2024



PURE DMA TRADING
GUARANTEED
STP EXECUTION



Arkham Capital (Pty) Limited

Prevention of Money Laundering Policy

Arkham Capital (Pty) Limited (“Tier1FX International”) complies with the provisions of the Proceeds of Crime (Anti-Money Laundering (AML) and Anti-Terrorist Financing) Regulations of 2008, the anti-money laundering framework set forth by the Financial Action Task Force (FATF) and regulations made thereunder.

In adherence to these regulations, the AML guidance notes are issued to the employees of Tier1FX International in company’s AML Procedures which form an integral part of the company’s Policy. Tier1FX commits to perform detailed KYC and back-ground checks on potential clients and reserves the right to refuse the acceptance of a client or the processing a transaction at any stage where it believes the client or the transaction to be connected in any way to money laundering or criminal activity.

As part of AML policy, Tier1FX International does NOT:

- Accept Third Party Payments
- Accept clients coming from Iran or North Korea

You, the Client, hereby confirm that none of your funds was directly or indirectly derived from, or otherwise related to any legal act or omission, nor are they product of any criminal activity which constitutes a predicate offence under any international, subnational or local Anti-Money Laundering and Countering of Terrorism legislation.

You also agree to provide us with true, correct and complete information, which we may reasonably require to comply with our legal obligations.

Unless you have indicated on your Account Opening Form, you warrant to Tier1FX International that you are not a “PEP” (Politically Exposed Person) nor are you an immediate family member of a Politically Exposed Person or a close associate of a Politically Exposed Person. You agree to notify us if your status in this regard changes.

If we have a suspicion that you have violated the regulations, we are required to notify the proper authorities. Among other steps that might be taken, we could be required to block your funds. If requested, you agree to provide us with documents and/or other information we require to ensure our continued compliance with all applicable rules, regulations and laws. Should there be material updates, corrections or changes to the information you provide to us in connection with opening and maintaining your account, you agree to notify us immediately of such changes. If you are in doubt as to whether a change is material, you agree to contact us for clarification.

If you wish to understand more fully the AML process Tier1FX International follows in assessing client accounts for acceptance, please contact support@tier1fx.co.za with your questions.

Tier1FX International is the branded offering of Arkham Capital (Pty) Limited, a company incorporated in South Africa, with registered address The Grange, 140 Bauhinia Road, Technopark, Centurion 0169, South Africa. Arkham Capital (Pty) Limited is authorised by the Financial Sector Conduct Authority as a Financial Services Provider (FSP 53853).

Risk Disclaimer: OTC leveraged products, including CFDs, are complex investments, which come with a high risk of losing money rapidly due to leverage. You acknowledge that trading derivative financial instruments, including foreign exchange, futures, options, contracts for differences and commodities carry a substantial potential risk of loss and you understand the risks involved and that you are willing to undertake this risk. You further confirm your understanding that the value of these financial instruments is influenced by the price changes of their underlying reference instruments, that these prices may fluctuate both rapidly and widely, that such factors can be neither predicted nor controlled by any party, and that leverage, or “gearing” will disproportionately impact the results of any such transactions. Losses can therefore quickly compound. You will be responsible to ensure your account has sufficient margin to sustain your trading activity. You should consider carefully whether you understand how CFDs work and whether you can afford to take the high risk of losing your money.